TRANSMITTAL	•	Application Number	10/799,432
		iling Date	March 12, 2004
FORM APR	1 9 2004	Hirst Named Inventor	CHISHTI, MUHAMMAD
(to be used for all correspondence ofter		Art Unit	Unassigned
	PADEMARK	Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	018563-000550US
	ENC	LOSURES (Check all that app	oly)
Fee Transmittal Form	☐ Drawir	ng(s)	After Allowance Communication to Group
Fee Attached	Licens	ing-related Papers	Appeal Communication to Board of Appe and Interferences
Amendment/Reply	Petitio	n	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		n to Convert to a ional Application	Proprietary Information
Affidavits/declaration(s)		of Attorney, Revocation ge of Correspondence Address	Status Letter
Extension of Time Request	Terminal Disclaimer ·		Other Enclosure(s) (please identify below):
Express Abandonment Request	Request for Refund		Return Postcard
	CD, Number of CD(s)		PTO/SB/08A and /08B Form
Information Disclosure Statement	1700000		2 Reference Copies
Certified Copy of Priority Document(s)	Rema	Remarks The Commissioner is authorized to charge any additional fees to Account 20-1430.	
Response to Missing Parts/ Incomplete Application			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
		F APPLICANT, ATTORNE	Y, OR AGENT
Firm Townsend and			No. 29,541
Individual James M. Heslin		rteg.	110. 20,071
Signature			
Date	4/4/0	1	
	CERTIFIC	CATE OF TRANSMISSION/	MAILING
hereby certify that this correspondence is being as first class mail in an envelope addressed to:	facsimile trans Commissioner	smitted to the USPTO or deposited v for Patents, P.O. Box 1450, Alexand	with the United States Postal Service with sufficient posta ria, VA 22313-1450 on the date shown below.
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Attorney Docket No.: 018563-000550US Client Reference No.: AT-00006.4

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TOWNSEND and TOWNSEND and CREW LLP

By: Edward Marmas

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MUHAMMAD CHISHTI et al.

Application No.: 10/799,432

Filed: March 12, 2004

For: SYSTEM AND METHOD FOR RELEASING TOOTH POSITIONING

APPLIANCES

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references, except U.S. 2003/0224311 A1 and U.S. 6,572,372 B1, can be found in Application No. 10/262,516, filed 09/30/2002 (Attorney Docket No. 018563-000540US). Copies of U.S. 2003/0224311 A1 and U.S. 6,572,372 B1 are enclosed herewith. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

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JMH:bjl 60190337 v1

PTO/SB/08A (08-03)

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				Application Number	10/799,432	
INF	ORMATION	DIS	SCLOSURE	Filing Date	March 12, 2004	
STA	TEMENT B	SY A	PPLICANT	First Named Inventor	CHISHTI, MUHAMMAD	
				Art Unit	Unassigned	
	(use as many she	eets as	necessary)	Examiner Name	Unassigned	
Sheet	1	of	2	Attorney Docket Number	018563-000550US	

			U.S. PATENT D	OCUMENTS+		
		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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	AR	2003/0224311	12/2003	Cronauer		
	AR	2003/0224311	12/2003	Cronauer		

		•	FOREIGN PA	TENT DOCUME	ENTS		
Examiner	Cite	For	eign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³	Number ⁴ Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
	AS	JP	63-11148	01/1988	Ozukuri		
	AT X	wo	WO 98/58596	07/1998			
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Examiner Signature	Date Considered	

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Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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Substitute for form 1449B/PTO		Complete if Known			
		N DICC	OCUDE	Application Number	10/799,432
INFORMATION DISCLOSURE				Filing Date	March 12, 2004
STAT	STATEMENT BY APPLICANT		First Named Inventor	CHISHTI, MUHAMMAD	
				Art Unit	Unassigned
6	(use as many sheets as necessary)		Examiner Name	Unassigned	
Sheet	2	of	2	Attorney Docket Number	018563-000550US

- 1	NON PATENT LITERATURE DOCUMENTS	$\overline{}$
Cite No. ¹	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
AU	Chiappone, "Constructing the gnathologic setup and positioner" J. Clin. Orthod. (1980) 14:121-133.	
AV	Cottingham, "Gnathologic clear plastic positioner" Am. J. Orthod. (1969) 55:23-31.	
X wA	Cureton, "Correcting malaligned mandibular incisors with removable retainers" <i>J. Clin. Orthod.</i> (1996) 30:390-395.	
AX X	Kesling, "Coordinating the predetermined pattern and tooth positioner with conventional treatment" Am. J. Orthod. Oral. Surg. (1946) 32:285-293.	
A _Y A	Kesling, "The philosophy of t'lqhe tooth positioning appliance" Am. J. Orthod. Oral. Surg. (1945) 31(6):297-304.	
AZX	Kleemann et al., "The speed positioner" J. Clin. Orthod. (1996) 30:673-680.	
ва∜	Product Brochure, Tru-Tain Orthodontic & Dental Supplies, Rochester, Minnesota 55902, 16 pages total.	
вв⊀	Raintree Essix TM & ARS Materials, Inc., Raintree Essix TM Technical Magazine Table of Contents and Essix TM Applications, http://www.essix.com/magazine/default.html (Aug. 13, 1997) 7 pages total.	
всЖ	Shilliday, "Minimizing finishing problems with the mini-positioner" Am. J. Orthod. (1971) 59:596-599.	
¥ _{d8}	Warunek et al., "Clinical use of silicone elastomer applicances" JCO (1989) XXIII(10):694-700.	
веЖ	Wells, "Application of the positioner appliance in orthodontic treatment" Am. J. Orthodont. (1970) 58:351-366.	
вғ∜	English translation of Japanese Laid-Open Publication No. 63-11148 to inventor T. Ozukuri (Laid-Open on January 18, 1988) pp. 1-7.	
	No.¹ AV AW AX BA BB BC BD BE	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. AU Chiappone, "Constructing the gnathologic setup and positioner" <i>J. Clin. Orthod.</i> (1980) 14:121-133. AV Cottingham, "Gnathologic clear plastic positioner" <i>Am. J. Orthod.</i> (1969) 55:23-31. AW Cureton, "Correcting malaligned mandibular incisors with removable retainers" <i>J. Clin. Orthod.</i> (1996) 30:390-395. AX Kesling, "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>Am. J. Orthod. Oral. Surg.</i> (1946) 32:285-293. AY Kesling, "The philosophy of t' lqhe tooth positioning appliance" <i>Am. J. Orthod. Oral. Surg.</i> (1945) 31(6):297-304. Kleemann et al., "The speed positioner" <i>J. Clin. Orthod.</i> (1996) 30:673-680. BA Product Brochure, Tru-Tain Orthodontic & Dental Supplies, Rochester, Minnesota 55902, 16 pages total. BB Raintree Essix™ & ARS Materials, Inc., Raintree Essix™ Technical Magazine Table of Contents and Essix™ Applications, http://www.essix.com/magazine/default.html (Aug. 13, 1997) 7 pages total. BC Shilliday, "Minimizing finishing problems with the mini-positioner" <i>Am. J. Orthod.</i> (1971) 59:596-599. BD Warunek et al., "Clinical use of silicone elastomer applicances" <i>JCO</i> (1989) XXIII(10):694-700. BE English translation of the positioner appliance in orthodontic treatment" <i>Am. J. Orthodont.</i> (1970) 58:351-366.

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Signature		Considered	
Signature		Considered	

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